

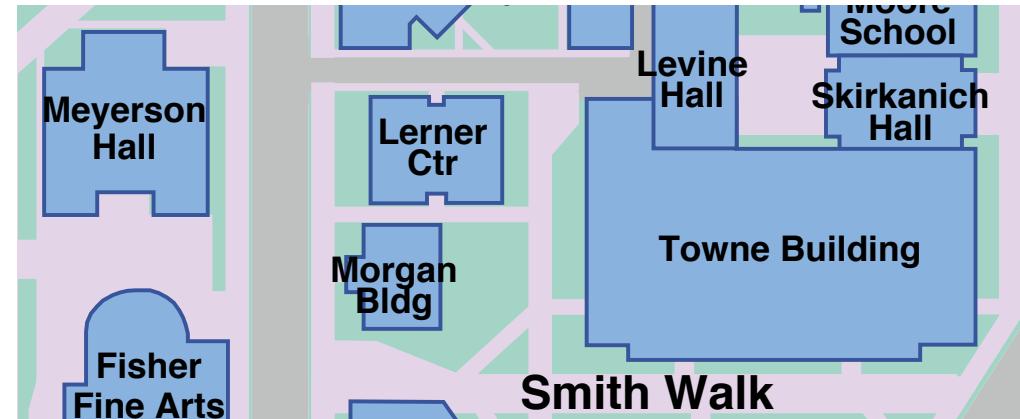
Lecture 14

# CIS 341: COMPILERS

# Announcements

- Midterm Exam:  
**Location:**  
**Meyerson Hall B3**  
**MEYH B3**

**Thursday, March 5**  
**noon-1:30**



- HW4: Oat v. 1 Frontend
  - Due: March 26<sup>th</sup> at 11:59pm
  - Counts as 2x project
  - *Start early!*

See lec14.zip

**OAT V.0**

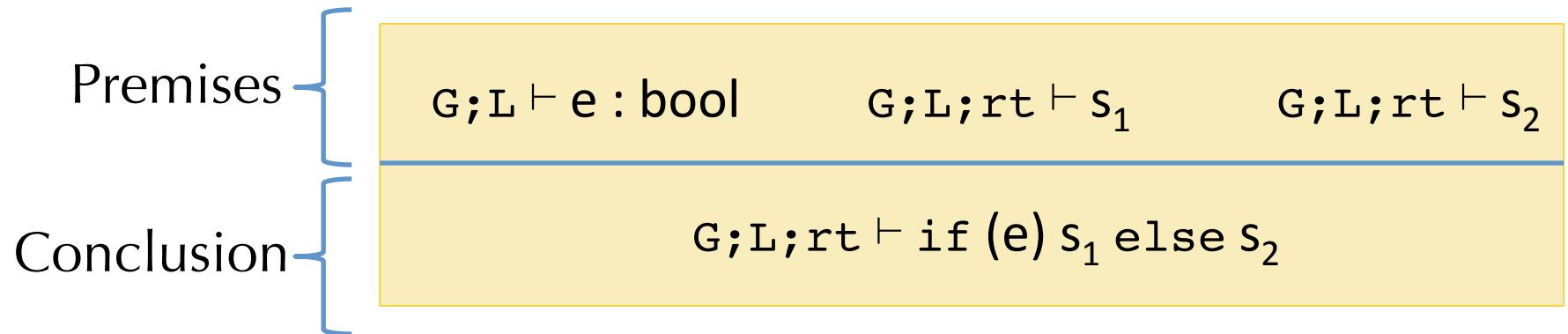
# Inference Rules

- We can read a judgment  $G; L \vdash e : t$  as “the expression  $e$  is well typed and has type  $t$ ”
- For any environment  $G$ , expression  $e$ , and statements  $s_1, s_2$ .

$$G; L; rt \vdash \text{if } (e) s_1 \text{ else } s_2$$

holds if  $G; L \vdash e : \text{bool}$  and  $G; L; rt \vdash s_1$  and  $G; L; rt \vdash s_2$  all hold.

- More succinctly: we summarize these constraints as an *inference rule*:



- This rule can be used for *any* substitution of the syntactic metavariables  $G$ ,  $e$ ,  $s_1$  and  $s_2$ .

# Checking Derivations

- A *derivation* or *proof tree* has (instances of) judgments as its nodes and edges that connect premises to a conclusion according to an inference rule.
- Leaves of the tree are *axioms* (i.e. rules with no premises)
  - Example: the INT rule is an axiom
- Goal of the type checker: verify that such a tree exists.
- Example1: Find a tree for the following program using the inference rules in oat0-defn.pdf:

```
int x1 = 0;
int x2 = x1 + x1;
x1 = x1 - x2;
return(x1);
```

Example2: There is no tree for this ill-scoped program:

```
int x2 = x1 + x1;
return(x2);
```

# Example Derivation

```
int x1 = 0;  
int x2 = x1 + x1;  
x1 = x1 - x2;  
return(x1);
```

$$\frac{\begin{array}{c} \mathcal{D}_1 \quad \mathcal{D}_2 \quad \mathcal{D}_3 \quad \mathcal{D}_4 \\ \hline G_0; \cdot ; \text{int} \vdash \text{int } x_1 = 0; \text{ int } x_2 = x_1 + x_1; x_1 = x_1 - x_2; \text{return } x_1; \Rightarrow \cdot, x_1:\text{int}, x_2:\text{int} \end{array}}{\vdash \text{int } x_1 = 0; \text{ int } x_2 = x_1 + x_1; x_1 = x_1 - x_2; \text{return } x_1;} \quad \begin{array}{l} [\text{STMTS}] \\ [\text{PROG}] \end{array}$$

# Example Derivation

$$\mathcal{D}_1 = \frac{\frac{\frac{\overline{G_0 ; \cdot \vdash 0 : \text{int}}}{G_0 ; \cdot \vdash 0 : \text{int}} \text{ [INT]}}{G_0 ; \cdot \vdash 0 : \text{int}} \text{ [CONST]}}{\frac{G_0 ; \cdot \vdash \text{int } x_1 = 0 \Rightarrow \cdot, x_1 : \text{int}}{G_0 ; \cdot ; \text{int} \vdash \text{int } x_1 = 0 ; \Rightarrow \cdot, x_1 : \text{int}}} \text{ [DECL]} \text{ [SDECL]}$$

$$\mathcal{D}_2 = \frac{\frac{\frac{\vdash + : (\text{int}, \text{int}) \rightarrow \text{int}}{\vdash + : (\text{int}, \text{int}) \rightarrow \text{int}} \text{ [ADD]}}{\frac{x_1 : \text{int} \in \cdot, x_1 : \text{int}}{G_0 ; \cdot, x_1 : \text{int} \vdash x_1 : \text{int}} \text{ [VAR]}} \text{ [VAR]}}{\frac{x_1 : \text{int} \in \cdot, x_1 : \text{int}}{G_0 ; \cdot, x_1 : \text{int} \vdash x_1 : \text{int}} \text{ [VAR]}} \text{ [BOP]}$$

$$\frac{G_0 ; \cdot, x_1 : \text{int} \vdash x_1 + x_1 : \text{int}}{\frac{G_0 ; \cdot, x_1 : \text{int} ; \text{int} \vdash \text{int } x_2 = x_1 + x_1 ; \Rightarrow \cdot, x_1 : \text{int}, x_2 : \text{int}}{\frac{G_0 ; \cdot, x_1 : \text{int} ; \text{int} \vdash \text{int } x_2 = x_1 + x_1 ; \Rightarrow \cdot, x_1 : \text{int}, x_2 : \text{int}} \text{ [DECL]}} \text{ [SDECL]}}$$

# Example Derivation

$$\begin{array}{l}
 \frac{x_1:\text{int} \in \cdot, x_1:\text{int}, x_2:\text{int}}{\vdash - : (\text{int}, \text{int}) \rightarrow \text{int}} \text{ [ADD]} \quad \frac{x_1:\text{int} \in \cdot, x_1:\text{int}, x_2:\text{int}}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int} \vdash x_1 : \text{int}} \text{ [VAR]} \quad \frac{x_2:\text{int} \in \cdot, x_1:\text{int}, x_2:\text{int}}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int} \vdash x_2 : \text{int}} \text{ [VAR]} \\
 \frac{}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int} \vdash x_1 - x_2 : \text{int}} \text{ [BOP]} \\
 \frac{x_1:\text{int} \in \cdot, x_1:\text{int}, x_2:\text{int}}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int}; \text{int} \vdash x_1 = x_1 - x_2; \Rightarrow \cdot, x_1:\text{int}, x_2:\text{int}} \text{ [ASSN]} \\
 \\[10pt]
 \mathcal{D}_3 = \\
 \\[10pt]
 \frac{x_1:\text{int} \in \cdot, x_1:\text{int}, x_2:\text{int}}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int} \vdash x_1 : \text{int}} \text{ [VAR]} \\
 \frac{}{G_0 ; \cdot, x_1:\text{int}, x_2:\text{int}; \text{int} \vdash \text{return } x_1; \Rightarrow \cdot, x_1:\text{int}, x_2:\text{int}} \text{ [RET]} \\
 \mathcal{D}_4 = 
 \end{array}$$

# Why Inference Rules?

- They are a compact, precise way of specifying language properties.
  - E.g. ~20 pages for full Java vs. 100's of pages of prose Java Language Spec.
- Inference rules correspond closely to the recursive AST traversal that implements them
- Compiling in a context is nothing more than an “interpretation” of the inference rules that specify typechecking\*:  $\llbracket C \vdash e : t \rrbracket$ 
  - Compilation follows the typechecking judgment
- Strong mathematical foundations
  - The “Curry-Howard correspondence”: Programming Language  $\sim$  Logic, Program  $\sim$  Proof, Type  $\sim$  Proposition
  - See CIS 500 next Fall if you’re interested in type systems!

# Compilation As Translating Judgments

- Consider the source typing judgment for source expressions:

$$C \vdash e : t$$

- How do we interpret this information in the target language?

$$\llbracket C \vdash e : t \rrbracket = ?$$

- $\llbracket t \rrbracket$  is a target type
- $\llbracket e \rrbracket$  translates to a (potentially empty) sequence of instructions, that, when run, computes the result into some operand
- INVARIANT: if  $\llbracket C \vdash e : t \rrbracket = \text{ty, operand , stream}$   
then the type (at the target level) of the operand is  $\text{ty} = \llbracket t \rrbracket$

# Example

- $C \vdash 341 + 5 : \text{int}$       what is  $\llbracket C \vdash 341 + 5 : \text{int} \rrbracket$  ?

$\llbracket \vdash 341 : \text{int} \rrbracket = (\text{i64}, \text{const } 341, [])$

$\llbracket \vdash 5 : \text{int} \rrbracket = (\text{i64}, \text{const } 5, [])$

---

$\llbracket C \vdash 341 : \text{int} \rrbracket = (\text{i64}, \text{const } 341, [])$

---

$\llbracket C \vdash 5 : \text{int} \rrbracket = (\text{i64}, \text{const } 5, [])$

---

$\llbracket C \vdash 341 + 5 : \text{int} \rrbracket = (\text{i64}, \% \text{tmp}, [\% \text{tmp} = \text{add i64 } (\text{const } 341) (\text{const } 5)])$

# What about the Context?

- What is  $\llbracket C \rrbracket$ ?
- Source level C has bindings like:  $x:\text{int}, y:\text{bool}$ 
  - We think of it as a finite map from identifiers to types
- What is the interpretation of C at the target level?
- $\llbracket C \rrbracket$  maps source identifiers, “x” to source types and  $\llbracket x \rrbracket$
- What is the interpretation of a variable  $\llbracket x \rrbracket$  at the target level?
  - How are the variables used in the type system?

$$\frac{x:t \in L}{G;L \vdash x : t} \quad \text{TYP\_VAR}$$

as expressions  
(which denote values)

$$\frac{x:t \in L \quad G;L \vdash exp : t}{G;L;rt \vdash x = exp ; \Rightarrow L} \quad \text{TYP\_ASSN}$$

as addresses  
(which can be assigned)

# Interpretation of Contexts

- $\llbracket C \rrbracket$  = a map from source identifiers to types and target identifiers
- INVARIANT:  
 $x:t \in C$  means that
  - (1) lookup  $\llbracket C \rrbracket x = (t, \%id\_x)$
  - (2) the (target) type of  $\%id\_x$  is  $\llbracket t \rrbracket^*$  (a pointer to  $\llbracket t \rrbracket$ )

# Interpretation of Variables

- Establish invariant for expressions:

$$\left[ \frac{x:t \in L}{G;L \vdash x : t} \text{ TYP_VAR} \right] = (\%tmp, [\%tmp = \text{load i64* \%id\_x}])$$

as expressions  
(which denote values)

where (i64, %id\_x) = lookup  $\llbracket L \rrbracket x$

- What about statements?

$$\left[ \frac{x:t \in L \quad G;L \vdash exp : t}{G;L;rt \vdash x = exp ; \Rightarrow L} \text{ TYP_ASSN} \right] = \text{stream @ } [\text{store } \llbracket t \rrbracket \text{ opn}, \llbracket t \rrbracket^* \%id\_x]$$

as addresses  
(which can be assigned)

where (t, %id\_x) = lookup  $\llbracket L \rrbracket x$   
and  $\llbracket G;L \vdash exp : t \rrbracket = (\llbracket t \rrbracket, \text{opn}, \text{stream})$

# Other Judgments?

- Statement:  
 $\llbracket C; rt \vdash \text{stmt} \Rightarrow C' \rrbracket = \llbracket C' \rrbracket, \text{stream}$
- Declaration:  
 $\llbracket G; L \vdash t \ x = \text{exp} \Rightarrow G; L, x:t \rrbracket = \llbracket G; L, x:t \rrbracket, \text{stream}$

INVARIANT: stream is of the form:

```
stream' @  
[ %id_x = alloca [t];  
  store [t] opn, [t]* %id_x ]
```

and  $\llbracket G; L \vdash \text{exp} : t \rrbracket = ([t], \text{opn}, \text{stream}')$

- Rest follow similarly

# COMPILING CONTROL

# Translating while

- Consider translating “`while(e) s`”:
  - Test the conditional, if true jump to the body, else jump to the label after the body.

$\llbracket C; rt \vdash \text{while}(e) \ s \Rightarrow C' \rrbracket = \llbracket C' \rrbracket,$

```
lpre:
  opn = \llbracket C \vdash e : \text{bool} \rrbracket
  %test = icmp eq i1 opn, 0
  br %test, label %lpost, label %lbody
lbody:
  \llbracket C; rt \vdash s \Rightarrow C' \rrbracket
  br %lpre
lpost:
```

- Note: writing `opn = \llbracket C \vdash e : \text{bool} \rrbracket` is pun
  - translating  $\llbracket C \vdash e : \text{bool} \rrbracket$  generates *code* that puts the result into `opn`
  - In this notation there is implicit collection of the code

# Translating if-then-else

- Similar to while except that code is slightly more complicated because if-then-else must reach a merge and the else branch is optional.

$\llbracket C; rt \vdash \text{if } (e_1) \ s_1 \ \text{else } s_2 \Rightarrow C' \rrbracket = \llbracket C' \rrbracket$

```
opn = \llbracket C \vdash e : \text{bool} \rrbracket
@test = icmp eq i1 opn, 0
br %test, label %else, label %then
then:
    \llbracket C; rt \vdash s_1 \Rightarrow C' \rrbracket
    br %merge
else:
    \llbracket C; rt s_2 \Rightarrow C' \rrbracket
    br %merge
merge:
```

# Connecting this to Code

- Instruction streams:
  - Must include labels, terminators, and “hoisted” global constants
- Must post-process the stream into a control-flow-graph
- See frontend.ml from HW4

# OPTIMIZING CONTROL

# Standard Evaluation

- Consider compiling the following program fragment:

```
if (x & !y | !w)
    z = 3;
else
    z = 4;
return z;
```



```
%tmp1 = icmp Eq [y], 0           ; !y
%tmp2 = and [x] [%tmp1]
%tmp3 = icmp Eq [w], 0
%tmp4 = or %tmp2, %tmp3
%tmp5 = icmp Eq %tmp4, 0
br %tmp4, label %else, label %then

then:
    store [z], 3
    br %merge

else:
    store [z], 4
    br %merge

merge:
    %tmp5 = load [z]
    ret %tmp5
```

# Observation

- Usually, we want the translation  $\llbracket e \rrbracket$  to produce a value
  - $\llbracket C \vdash e : t \rrbracket = (\text{ty}, \text{operand}, \text{stream})$
  - e.g.  $\llbracket C \vdash e_1 + e_2 : \text{int} \rrbracket = (\text{i64}, \% \text{tmp}, [\% \text{tmp} = \text{add } \llbracket e_1 \rrbracket \llbracket e_2 \rrbracket])$
- But when the expression we're compiling appears in a test, the program jumps to one label or another after the comparison but otherwise never uses the value.
- In many cases, we can avoid “materializing” the value (i.e. storing it in a temporary) and thus produce better code.
  - This idea also lets us implement different functionality too:  
e.g. short-circuiting boolean expressions

# Idea: Use a different translation for tests

Usual Expression translation:

$$[\![C \vdash e : t]\!] = (\text{ty}, \text{operand}, \text{stream})$$

Conditional branch translation of booleans,  
without materializing the value:

$$[\![C \vdash e : \text{bool}@]\!] \text{ ltrue lffalse} = \text{stream} \\ [\![C, rt \vdash \text{if } (e) \text{ then } s_1 \text{ else } s_2 \Rightarrow C']\!] = [\![C']\!]$$

insns<sub>3</sub>  
then:  
  [\![s<sub>1</sub>]\!]  
  br %merge  
else:  
  [\![s<sub>2</sub>]\!]  
  br %merge  
merge:

Notes:

- takes two extra arguments: a “true” branch label and a “false” branch label.
- Doesn’t “return a value”
- Aside: this is a form of continuation-passing translation...

where

$$\begin{aligned} [\![C, rt \vdash s_1 \Rightarrow C']\!] &= [\![C']\!], \text{insns}_1 \\ [\![C, rt \vdash s_2 \Rightarrow C']\!] &= [\![C']\!], \text{insns}_2 \\ [\![C \vdash e : \text{bool}@]\!] \text{ then else} &= \text{insns}_3 \end{aligned}$$

# Short Circuit Compilation: Expressions

- $\llbracket C \vdash e : \text{bool}@ \rrbracket \mid \text{true} \mid \text{false} = \text{insn}$

$$\frac{}{\llbracket C \vdash \text{false} : \text{bool}@ \rrbracket \mid \text{true} \mid \text{false} = [\text{br } \% \text{lfalse}]} \text{FALSE}$$

$$\frac{}{\llbracket C \vdash \text{true} : \text{bool}@ \rrbracket \mid \text{true} \mid \text{false} = [\text{br } \% \text{ltrue}]} \text{TRUE}$$

$$\frac{\llbracket C \vdash e : \text{bool}@ \rrbracket \mid \text{false} \mid \text{true} = \text{insn}}{\llbracket C \vdash \text{!}e : \text{bool}@ \rrbracket \mid \text{true} \mid \text{false} = \text{insn}} \text{NOT}$$

# Short Circuit Evaluation

Idea: build the logic into the translation

$$\llbracket C \vdash e_1 : \text{bool}@ \rrbracket \text{ ltrue right} = \text{insn}_1 \quad \llbracket C \vdash e_2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insn}_2$$

$$\llbracket C \vdash e_1 | e_2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} =$$

insn<sub>1</sub>  
right:  
insn<sub>2</sub>

$$\llbracket C \vdash e_1 : \text{bool}@ \rrbracket \text{ right lfalse} = \text{insn}_1 \quad \llbracket C \vdash e_2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} = \text{insn}_2$$

$$\llbracket C \vdash e_1 \& e_2 : \text{bool}@ \rrbracket \text{ ltrue lfalse} =$$

insn<sub>1</sub>  
right:  
insn<sub>2</sub>

where **right** is a fresh label

# Short-Circuit Evaluation

- Consider compiling the following program fragment:

```
if (x & !y | !w)
    z = 3;
else
    z = 4;
return z;
```



```
%tmp1 = icmp Eq [x], 0
br %tmp1, label %right2, label %right1

right1:
    %tmp2 = icmp Eq [y], 0
    br %tmp2, label %then, label %right2

right2:
    %tmp3 = icmp Eq [w], 0
    br %tmp3, label %then, label %else

then:
    store [z], 3
    br %merge

else:
    store [z], 4
    br %merge

merge:
    %tmp5 = load [z]
    ret %tmp5
```