C (cont.) & Intro to Processes Computer Operating Systems, Spring 2024

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✤ How are you?

Administrivia

- Project 0 penn-parser:
 - out later tonight
 - "due" Tuesday Jan 30
 - Actual due date: submit with penn-shredder, but you need to finish it before penn-shredder will work anyways.
 - Your first C programming assignment
- Pre-semester survey:
 - out at 7pm
 - "due" wed Jan 31
 - Just a short survey

Lecture Outline

C Refresher

- C Strings
- Dynamic memory (malloc & realloc)
- Structs
- Processes
 - Overview
 - fork()
 - exec()

Strings without Objects

- Strings are central to C, very important for I/O
- In C, we don't have Objects but we need strings
- If a string is just a sequence of characters, we can have use array of characters as a string
- Example:

char str_arr[] = "Hello World!"; char *str_ptr = "Hello World!";

Null Termination

DO NOT FORGET THIS. THIS IS THE CAUSE OF MANY BUGS

- Arrays don't have a length, but we mark the end of a string with the null terminator character.
 - The null terminator has value 0x00 or '\0'
 - Well formed strings <u>MUST</u> be null terminated
- * Example: char str[] = "Hello"; Takes up 6 characters, 5 for "Hello" and 1 for the null terminator

address	0x2000	0x2001	0x2002	0x2003	0x2004	0x2005
value	'H'	'e'	'1'	'1'	'0'	'\0'

Demo: get_input.c

- Lets code together a small program that:
 - Reads at max 100 characters from stdin (user input)
 - Truncates the input to only the first word
 - Prints that word out
 - Not allowed to use scanf, FILE*, printf, etc



- There are two things wrong with this function
- What are they? How do we fix this function w/o changing the function signature

```
#define MAX INPUT SIZE 100
char* read stdin() {
  char str[MAX INPUT SIZE];
  ssize t res = read(STDIN FILENO, str, MAX INPUT SIZE);
  // error checking
  if (res <= 0) {
    return NULL;
  return str;
```



- There are two things wrong with this function
- What are they? How do we fix this function w/o changing the function sig? #define MAX_INPUT_SIZE 100

```
char* read stdin() {
      char str[MAX INPUT SIZE];
      ssize t res = read(STDIN FILENO,
                          str, MAX INPUT SIZE);
      // error checking
      if (res <= 0) {
        return NULL;
      return str;
  assuming this is how the function is called
char* result = read stdin();
```

D Poll Everywhere

- There are two things wrong with this function
- What are they? How do we fix this function w/o changing the function sig? #define MAX INPUT SIZE 100

```
char* read stdin() {
The Stack
                             char str[MAX INPUT SIZE];
main
                             ssize t res = read(STDIN FILENO,
                                                  str, MAX INPUT SIZE);
char* result
                             // error checking
                             if (res <= 0) {
                               return NULL;
                             return str;
                       // assuming this is how the function is called
                       char* result = read stdin();
                                                                         10
```

Poll Everywhere

- There are two things wrong with this function
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                            char str[MAX INPUT SIZE];
main
                            ssize t res = read(STDIN FILENO,
                                                 str, MAX INPUT SIZE);
char* result
                            // error checking
                            if (res <= 0) {
                              return NULL;
   return str;
                      // assuming this is how the function is called
                      char* result = read stdin();
                                                                       12
```

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Memory Allocation

So far, we have seen two kinds of memory allocation:

```
int counter = 0; // global var
int main() {
  counter++;
  printf("count = %d\n",counter);
  return 0;
}
```

- counter is statically-allocated
 - Allocated when program is loaded
 - Deallocated when program exits

```
int foo (int a) {
    int x = a + 1;    // local var
    return x;
}
int main() {
    int y = foo(10);    // local var
    printf("y = %d\n",y);
    return 0;
}
```

- a, x, y are *automatically*allocated
 - Allocated when function is called

Deallocated when function returns

Aside: sizeof

- sizeof operator can be applied to a variable or a type and it evaluates to the size of that type in bytes
- Examples:
 - sizeof(int) returns the size of an integer
 - sizeof (double) returns the size of a double precision number
 - struct my_struct s;
 - **sizeof(s)** returns the size of the struct s
 - my_type *ptr
 - **sizeof** (*ptr) returns the size of the type pointed to by ptr
- Very useful for Dynamic Memory

What is Dynamic Memory Allocation?

- We want Dynamic Memory Allocation
 - Dynamic means "at run-time"
 - The compiler and the programmer don't have enough information to make a final decision on how much to allocate
 - Your program explicitly requests more memory at run time
 - The language allocates it at runtime, maybe with help of the OS
- Dynamically allocated memory persists until either:
 - A garbage collector collects it (automatic memory management)
 - Your code explicitly deallocates it (manual memory management)
- C requires you to manually manage memory
 - More control, and more headaches

Heap API

- Dynamic memory is managed in a location in memory called the "Heap"
 - The heap is managed by user-level runetime library (libc)
 - Interface functions found in <stdlib.h>
- Most used functions:
 - void *malloc(size_t size);
 - Allocates memory of specified size
 - void free(void *ptr);
 - Deallocates memory
- Note: void* is "generic pointer". It holds an address, but doesn't specify what it is pointing at.
- * Note 2: size_t is the integer type of sizeof()

malloc()

void *malloc(size_t size);

- malloc allocates a block of memory of the requested size
 - Returns a pointer to the first byte of that memory
 - And returns NULL if the memory allocation failed!
 - You should assume that the memory initially contains garbage
 - You'll typically use sizeof to calculate the size you need



free()

- Deallocates the memory pointed-to by the pointer
 - Pointer <u>must point to the first byte of heap-allocated memory</u> (*i.e.* something previously returned by malloc)
 - Freed memory becomes eligible for future allocation
 - free (NULL); does nothing.
 - The bits in the pointer are not changed by calling free
 - Defensive programming: can set pointer to NULL after freeing it

```
float* arr = malloc(10*sizeof(float));
if (arr == NULL)
  return errcode;
... // do stuff with arr
free(arr);
arr = NULL; // OPTIONAL
```

The Heap

- The Heap is a large pool of available memory to use for Dynamic allocation
- This pool of memory is kept track of with a small data structure indicating which portions have been allocated, and which portions are currently available.

* malloc:

- searches for a large enough unused block of memory
- marks the memory as allocated.
- Returns a pointer to the beginning of that memory

* free:

- Takes in a pointer to a previously allocated address
- Marks the memory as free to use.

Dynamic Memory Example

<pre>#include <stdlib.h></stdlib.h></pre>			
	addr	var	value
<pre>int main() {</pre>	0x2001	ptr	
<pre>char* ptr = malloc(4*sizeof(char)); if (ptr == NULL)</pre>	• • •	• • •	
return EXIT_FAILURE;	0x4000	HEAP START	USED
<pre> // do stuff with ptr free(ptr);</pre>	0x4001		USED
}	0x4002		
	0x4003		
	0x4004		
	0x4005		
	0x4006		
	0x4007		
	0x4008		USED
	0x4009		USED

value

0x4002

_ _

USED

USED

USED

USED

USED

USED

USED

USED

Dynamic Memory Example

Himplanda Katallih h>		
#include <stallb.n></stallb.n>	addr	var
<pre>int main() {</pre>	0x2001	ptr
<pre>char* ptr = malloc(4*sizeof(char)); if (ptr == NULL)</pre>	• • •	• • •
return EXIT_FAILURE;	0x4000	HEAP START
<pre> // do stuff with ptr free(ptr);</pre>	0x4001	
}	0x4002	
	0x4003	
	0x4004	
	0x4005	
	0x4006	
	0x4007	
	0x4008	
	0x4009	

Dynamic Memory Example

<pre>#include <stdlib.h></stdlib.h></pre>	addr	τaγ	میرادید
int main() {	auu1	vai	
$\frac{1}{1} = \frac{1}{1} \frac{1}{2} $	0x2001	ptr	0x4002
if (ptr == NULL)	• • •	• • •	
return EXIT_FAILURE;	0x4000	HEAP START	USED
<pre> // do stuff with ptr . free(ptr);</pre>	0x4001		USED
}	0x4002		
	0x4003		
	0x4004		
	0x4005		
	0x4006		
	0x4007		
	0x4008		USED
	0x4009		USED

Fixed read_stdin()

```
#define MAX INPUT SIZE 100
char* read stdin() {
  char str = (char*) malloc(sizeof(char) * MAX INPUT SIZE);
  if (str == NULL) {
   return NULL;
  }
  ssize t res = read(STDIN FILENO, str, MAX INPUT SIZE);
  // error checking
  if (res <= 0) {
   return NULL;
  }
  return str;
```

Demo (continued): get_input.c

- Lets code together a small program that:
 - Reads at max 100 characters from stdin (user input)
 - Truncates the input to only the first word
 - Prints that word out
 - Not allowed to use scanf, FILE*, printf, etc

What was the other issue? (other than not using malloc)

Dynamic Memory Pitfalls

- Buffer Overflows
 - E.g. ask for 10 bytes, but write 11 bytes
 - Could overwrite information needed to manage the heap
 - Common when forgetting the null-terminator on malloc'd strings
- Not checking for NULL
 - Malloc returns NULL if out of memory
 - Should check this after every call to malloc
- Giving free() a pointer to the middle of an allocated region
 - Free won't recognize the block of memory and probably crash
- Giving free() a pointer that has already been freed
 - Will interfere with the management of the heap and likely crash
- malloc does NOT initialize memory
 - There are other functions like calloc that will zero out memory

Memory Leaks

- The most common Memory Pitfall
- What happens if we malloc something, but don't free it?
 - That block of memory cannot be reallocated, even if we don't use it anymore, until it is **free**d
 - If this happens enough, we run out of heap space and program may slow down and eventually crash
- Garbage Collection
 - Automatically "frees" anything once the program has lost all references to it
 - Affects performance, but avoid memory leaks
 - Java has this, C doesn't

static function variables

Functions can declare a variable as static

```
#include <stdio.h> // for printf
#include <stdlib.h> // for EXIT SUCCESS
                                     This is how some functions
int next num();
                                     (like one in projD) can
                                    "remember" things.
int main(int argc, char** argv) {
  printf("%d\n", next num()); // prints 1
  printf("%d\n", next num()); // then 2
 printf("%d\n", next num()); // then 3
  return EXIT SUCCESS;
int next num() {
  // marking this variable as static means that
  // the value is preserved between calls to the function
  // this allows the function to "remember" things
  static int counter = 0;
                                      Can be thought of as a
  counter++;
                                      global variable that is
  return counter;
                                      "private" to a function
```

Poll Everywhere

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Which line below is first to (most likely) cause a crash?

int a[2];

a[2] = 5;

b[0] += 2;

free(&(a[0]));

c = b+3;

free(b);

free(b);

b[0] = 5;

int* c;

#include <stdio.h>

#include <stdlib.h>

int main(int argc, char** argv) {

int* b = malloc(2*sizeof(int));

- Yes, there are a lot of bugs, but not all cause a crash ③
- See if you can find all the bugs!

return 0;



<u>Note</u>: Arrow points to *next* instruction.



<u>Note</u>: Arrow points to *next* instruction.



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<u>Note</u>: Arrow points to *next* instruction.







memcorrupt.c

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Memory Corruption - What Happens?



memcorrupt.c

Memory Corruption - What Happens?



memcorrupt.c

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Structured Data

A struct is a C datatype that contains a set of fields

- Similar to a Java class, but with no methods or constructors
- Useful for defining new structured types of data
 Acts similarly to primitive variables
- Generic declaration:

```
// declaring the struct type
struct point {
  float x;
  float y;
};
// declaring a variable
struct point pt;
```

```
// declaring the struct type
typedef struct point_st {
  float x;
  float y;
} point;
// declaring a variable
point pt;
```

Structured Data Initialization

- A struct is a C datatype that contains a set of fields
 Acts similarly to primitive variables
- Generic declaration:

```
typedef struct point st {
  float x;
  float y;
                       Default values are still garbage
} point;
point pt;
point origin = {0.0f, 0.0f}; <- Initializer List
point other = (point) {
                     <- with designators
  .x = 3.14f,
  .y = 3.800f,
};
pt = origin; // pt now contains 0.0f, 0.0f
   ^ same as pt.x = origin.x;
               pt.y = origin.y;
```

Accessing struct Fields

- Use "." to refer to a field in a struct
- ✤ Use "->" to refer to a field from a struct pointer
 - Dereferences pointer first, then accesses field

```
typedef struct point_st {
  float x, y;
} Point;
int main(int argc, char** argv) {
  Point p1 = {0.0, 0.0};
  Point p1_ptr = &p1;
  p1.x = 1.0;
  p1_ptr->y = 2.0; // equivalent to (*p1_ptr).y = 2.0;
  return 0;
}
```

Output parameters (again)

- One way to handle multiple return values through output parameters
 - This function generates an array of int and returns the length (or -1 on error)

Poll Everywhere

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- How do you think we call this function?
 - It generates an array of int and returns the length (or -1 on error)

ssize t gen_arr(int** output arr);

Poll Everywhere

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- How do you think we call this function?
 - It generates an array of int and returns the length (or -1 on error)

```
int* arr;
ssize_t res = gen_arr(&arr);
if (res < 0) {
   // handle error
}
```

Structs vs output parameters

The parameter `output_arr` is entirely for output, it messes with our common understanding of a parameters

as input [ssize_t gen_arr(int** output_arr);

- An alternative way this function could be written is with a struct that contains both values:
- Which do you think is more readable?

```
typedef struct int_arr_st {
    int* eles
    size_t length;
} int_arr;
int_arr gen_arr();
```

Another example

- Another common example are functions that produce something but can error.
- Consider this function that produces some struct (lets call it struct addrinfo) but can error.

```
bool addr info(struct addrinfo* output);
```

```
typedef struct optional_addrinfo_st {
   bool has_value;
   struct addr_info value;
} optional_addrinfo;
```

The first is more common in C and the C stdlib, but you can do either in functions you write

Demo: implementing a simple int vector

- * Demo: vec int.c inside of 01-code.zip
 - Starting from blank_vec_int.c
 - Explaining design
 - How do we implement vec_push()?
 - Why do we need to pass in a vec_int* instead of just vec_int?

realloc()

- void *realloc(void* ptr, size_t size);
 - **realloc** is used to "re-allocate" a block of memory to be the requested size
 - This means previous values in ptr will be in the reallocated memory
- Returns a pointer to the first byte of that memory
 - And returns NULL if the memory allocation failed!
- * realloc(NULL, size) is equal to malloc(size)

* See vec_int.c for an example of how realloc is useful

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Definition: Process

- Definition: An instance of a program that is being executed
 (or is ready for execution)
- Consists of:
 - Memory (code, heap, stack, etc)
 - Registers used to manage execution (stack pointer, program counter, ...)
 - Other resources

```
* This isn't quite true
more in a future lecture
```



Operating System

Computer

Computers as we know them now

- In CIS 2400, you learned about hardware, transistors, CMOS, gates, etc.
- Once we got to programming, our computer looks something like:

What is missing/wrong with this?

This model is still useful, and can be used in many settings

Multiple Processes

- Computers run multiple processes "at the same time"
- One or more processes for each of the programs on your computer

- Each process has its own...
 - Memory space
 - Registers
 - Resources



CIS 3800, Spring 2024

OS: Protection System

- OS isolates process from each other
 - Each process seems to have exclusive use of memory and the processor.
 - This is an illusion
 - More on Memory when we talk about virtual memory later in the course
 - OS permits controlled sharing between processes
 - E.g. through files, the network, etc.
- OS isolates itself from processes
 - Must prevent processes from accessing the hardware directly



Multiprocessing: The Illusion



- Computer runs many processes simultaneously
 - Applications for one or more users
 - Web browsers, email clients, editors, ...
 - Background tasks
 - Monitoring network & I/O devices



- Single processor executes multiple processes concurrently
 - Process executions interleaved (multitasking)
 - Address spaces managed by virtual memory system (later in course)
 - Register values for nonexecuting processes saved in memory



1. Save current registers in memory



- 1. Save current registers in memory
- 2. Schedule next process for execution



- 1. Save current registers in memory
- 2. Schedule next process for execution
- 3. Load saved registers and switch address space (context switch)

Multiprocessing: The (Modern) Reality



- Each can execute a separate process
 - Scheduling of processors onto cores done by kernel
- This is called "Parallelism"



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- What I just went through was the big picture of processes. Many details left, some will be gone over in future lectures
- Any questions, comments or concerns so far?

Process States (incomplete)

FOR NOW, we can think of a process as being in one of three states:

Running

More states in future lectures

- Process is currently executing
- Ready
 - Process is waiting to be executed and will eventually be scheduled (i.e., chosen to execute) by the kernel

Scheduler to be covered in a later lecture

- Terminated
 - Process is stopped permanently

Process State Lifetime (incomplete)



Processes can be "interrupted" to stop running. Through something like a hardware timer interrupt

Context Switching

- Processes are managed by a shared chunk of memoryresident OS code called the *kernel*
 - Important: the kernel is not a separate process, but rather runs as part of some existing process.
- Control flow passes from one process to another via a context switch



OS: The Scheduler

- When switching between processes, the OS will run some kernel code called the "Scheduler"
- The scheduler runs when a process:
 - starts ("arrives to be scheduled"),
 - Finishes
 - Blocks (e.g., waiting on something, usually some form of I/O)
 - Has run for a certain amount of time
- It is responsible for scheduling processes
 - Choosing which one to run
 - Deciding how long to run it

Scheduler Considerations

- The scheduler has a scheduling algorithm to decide what runs next.
- Algorithms are designed to consider many factors:
 - Fairness: Every program gets to run
 - Liveness: That "something" will eventually happen
 - Throughput: Number of "tasks" completed over an interval of time
 - Wait time: Average time a "task" is "alive" but not running
 - A lot more...
- More on this later. For now: think of scheduling as non-deterministic, details handled by the OS.

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Terminating Processes

- Process becomes terminated for one of three reasons:
 - Receiving a signal whose default action is to terminate (next lecture)
 - Returning from the main routine
 - Calling the exit function

* void exit(int status);

- Terminates with an exit status of status
- Convention: normal return status is 0, nonzero on error
- Another way to explicitly set the exit status is to return an integer value from the main routine
- * exit is called once but never returns.

Creating New Processes

pid_t fork();

- Creates a new process (the "child") that is an *exact clone** of the current process (the "parent")
 - *almost everything
- The new process has a separate virtual address space from the parent
- Returns a pid_t which is an integer type.

fork() and Address Spaces

- Fork causes the OS to clone the address space
 - The *copies* of the memory segments are (nearly) identical
 - The new process has copies of the parent's data, stack-allocated variables, open file descriptors, etc.



fork()

- s fork() has peculiar semantics
 - The parent invokes fork ()
 - The OS clones the parent
 - Both the parent and the child return from fork
 - Parent receives child's pid
 - Child receives a 0



fork()

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fork()

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 - The parent invokes fork ()
 - The OS clones the parent
 - Both the parent and the child return from fork
 - Parent receives child's pid
 - Child receives a 0



"simple" fork() example

```
fork();
printf("Hello!\n");
```

What does this print?

"simple" fork() example

int x = 3;
fork();
x++;
printf("%d\n", x);

What does this print?

fork() example

```
pid_t fork_ret = fork();
if (fork_ret == 0) {
    printf("Child\n");
} else {
    printf("Parent\n");
}
```



fork() example

Parent Process (PID = X)



Child Process (PID = Y)





Prints "Parent"

Which prints first? Non-deterministic fork ret = 0

```
Prints "Child"
```

Another fork() example

```
pid_t fork_ret = fork();
int x;
if (fork_ret == 0) {
    x = 3800;
} else {
    x = 2400;
}
printf("%d\n", x);
```



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Reminder: Processes have their own address space (and thus, copies of their own variables)

Order is still nondeterministic!!

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- Loads in a new program for execution
- PC, SP, registers, and memory are all reset so that the specified program can run

execve()

- Duplicates the action of the shell (terminal) in terms of finding the command/program to run
- Argv is an array of char*, the same kind of argv that is passed to main() in a C program
 - **argv[0]** MUST have the same contents as the file parameter
 - **argv** must have NULL as the last entry of the array
- Just pass in an array of { NULL }; as envp
- Returns -1 on error. Does NOT return on success

Exec Visualization

Exec takes a process and discards or "resets" most of it



NOTE that the following DO change

- The stack
- The heap
- Globals
- Loaded code
- Registers

NOTE that the following do NOT change

- Process ID
- Open files
- The kernel

Aside: Exiting a Process

void exit(int status);

- Causes the current process to exit normally
- Automatically called by main () when main returns
- Exits with a return status (e.g. EXIT_SUCCESS or EXIT_FAILURE)
 - This is the same int returned by main ()
- The exit status is accessible by the parent process with wait() or waitpid(). (more on these functions next lecture)

Exec Demo

- * See exec_example.c
 - Brief code demo to see how exec works
 - What happens when we call exec?
 - What happens to allocated memory when we call exec?

Poll Everywhere

```
int main(int argc, char* argv[]) {
 char* envp[] = { NULL };
 // fork a process to exec clang
 pid t clang pid = fork();
 if (clang pid == 0) {
    // we are the child
    char* clang argv[] = {"/bin/clang", "-o",
              "hello", "hello_world.c", NULL};
   execve(clang argv[0], clang_argv, envp);
   exit(EXIT FAILURE);
  // fork to run the compiled program
 pid t hello pid = fork();
 if (hello pid == 0) {
    // the process created by fork
    char* hello argv[] = {"./hello", NULL};
   execve(hello argv[0], hello argv, envp);
   exit(EXIT FAILURE);
 return EXIT SUCCESS;
```

broken_autograder.c

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This code is broken. It compiles, but it doesn't do what we want. Why?

- Clang is a C compiler
- Assume it compiles
- Assume I gave the correct args to exec

Poll Everywhere

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This code is broken. It compiles, but it doesn't do what we want. Why?

- Clang is a C compiler
- Assume it compiles
- Assume I gave the correct args to exec