

## Midterm 1 is next Wednesday

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- ◆ Today's lecture will not be covered by the midterm.
- ◆ Next Monday, review class.
- ◆ Old exams and review questions on webpage.
- ◆ No recitation sections next week.
- ◆ New office hours next week, watch newsgroup for details.

## Plans

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### Where we've been:

- ◆ Inductive definitions
  - ◆ abstract syntax
  - ◆ inference rules
- ◆ Proofs by structural induction
- ◆ Operational semantics
- ◆ The lambda-calculus
- ◆ Typing rules and type soundness

### Where we're going:

- ◆ "Simple types" for the lambda-calculus
- ◆ Formalizing more features of real-world languages (records, datatypes, references, exceptions, etc.)
- ◆ Subtyping
- ◆ Objects

CIS 500

Software Foundations

Fall 2004

6 October

## Plans

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### Where we've been:

- ◆ Inductive definitions
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  - ◆ inference rules
- ◆ Proofs by structural induction
- ◆ Operational semantics
- ◆ The lambda-calculus
- ◆ Typing rules and type soundness

## Lambda-calculus with booleans

<b>t ::=</b>	<i>terms</i>
<code>x</code>	<i>variable</i>
<code>λx.t</code>	<i>abstraction</i>
<code>t t</code>	<i>application</i>
<code>true</code>	<i>constant true</i>
<code>false</code>	<i>constant false</i>
<code>if t then t else t</code>	<i>conditional</i>

<b>v ::=</b>	<i>values</i>
<code>λx.t</code>	<i>abstraction value</i>
<code>true</code>	<i>true value</i>
<code>false</code>	<i>false value</i>

## Typing rules

<code>true : Bool</code>	(T-TRUE)
<code>false : Bool</code>	(T-FALSE)
$\frac{t_1 : \text{Bool} \quad t_2 : T \quad t_3 : T}{\text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)

## The Simply Typed Lambda-Calculus

## “Simple Types”

<b>T ::=</b>	<i>types</i>
<code>Bool</code>	<i>type of booleans</i>
<code>T → T</code>	<i>types of functions</i>

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$\text{true} : \text{Bool}$  (T-TRUE)

$\text{false} : \text{Bool}$  (T-FALSE)

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 (T-IF)

$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$$
 (T-VAR)

## Typing rules

$\Gamma \vdash \text{true} : \text{Bool}$  (T-TRUE)

$\Gamma \vdash \text{false} : \text{Bool}$  (T-FALSE)

$$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : T \quad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$$
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$$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2}$$
 (T-ABS)

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 (T-IF)

$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$$
 (T-VAR)

## Typing Derivations

What derivations justify the following typing statements?

- ◆  $\vdash (\lambda x:\text{Bool}.x) \text{ true} : \text{Bool}$
- ◆  $f:\text{Bool}\rightarrow\text{Bool} \vdash f \text{ (if false then true else false)} : \text{Bool}$
- ◆  $f:\text{Bool}\rightarrow\text{Bool} \vdash \lambda x:\text{Bool}. f \text{ (if x then false else x)} : \text{Bool}\rightarrow\text{Bool}$

## Properties of $\lambda_{\rightarrow}$

As before, the fundamental property of the type system we have just defined is **soundness** with respect to the operational semantics.

1. **Progress:** A closed, well-typed term is not stuck  
If  $\vdash t : T$ , then either  $t$  is a value or else  $t \rightarrow t'$  for some  $t'$ .
2. **Preservation:** Types are preserved by one-step evaluation  
If  $\Gamma \vdash t : T$  and  $t \rightarrow t'$ , then  $\Gamma \vdash t' : T$ .

## Typing rules

$\Gamma \vdash \text{true} : \text{Bool}$	(T-TRUE)
$\Gamma \vdash \text{false} : \text{Bool}$	(T-FALSE)
$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : T \quad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T}$	(T-IF)
$\frac{x:T \in \Gamma}{\Gamma \vdash x : T}$	(T-VAR)
$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2}$	(T-ABS)
$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}}$	(T-APP)

## Properties of $\lambda_{\rightarrow}$

As before, the fundamental property of the type system we have just defined is **soundness** with respect to the operational semantics.

## Proving progress

Same steps as before...

- ◆ inversion lemma for typing relation
- ◆ canonical forms lemma
- ◆ progress theorem

## Inversion

Lemma:

1. If  $\Gamma \vdash \text{true} : R$ , then  $R = \text{Bool}$ .
2. If  $\Gamma \vdash \text{false} : R$ , then  $R = \text{Bool}$ .
3. If  $\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : R$ , then  $\Gamma \vdash t_1 : \text{Bool}$  and  $\Gamma \vdash t_2, t_3 : R$ .

## Proving progress

Same steps as before...

## Typing rules again (for reference)

$$\frac{}{\Gamma \vdash \text{true} : \text{Bool}} \quad (\text{T-TRUE})$$
$$\frac{}{\Gamma \vdash \text{false} : \text{Bool}} \quad (\text{T-FALSE})$$
$$\frac{\Gamma \vdash t_1 : \text{Bool} \quad \Gamma \vdash t_2 : T \quad \Gamma \vdash t_3 : T}{\Gamma \vdash \text{if } t_1 \text{ then } t_2 \text{ else } t_3 : T} \quad (\text{T-IF})$$
$$\frac{x:T \in \Gamma}{\Gamma \vdash x : T} \quad (\text{T-VAR})$$
$$\frac{\Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \lambda x:T_1. t_2 : T_1 \rightarrow T_2} \quad (\text{T-ABS})$$
$$\frac{\Gamma \vdash t_1 : T_{11} \rightarrow T_{12} \quad \Gamma \vdash t_2 : T_{11}}{\Gamma \vdash t_1 t_2 : T_{12}} \quad (\text{T-APP})$$

## Inversion

### Lemma:

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4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .

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4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
5. If  $\Gamma \vdash \lambda x : T_1 . t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$  with  $\Gamma, x : T_1 \vdash t_2 : R_2$ .

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4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
5. If  $\Gamma \vdash \lambda x : T_1. t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$  with  $\Gamma, x : T_1 \vdash t_2 : R_2$ .
6. If  $\Gamma \vdash t_1 t_2 : R$ , then there is some type  $T_{11}$  such that  $\Gamma \vdash t_1 : T_{11} \rightarrow R$  and  $\Gamma \vdash t_2 : T_{11}$ .

## Canonical Forms

### Lemma:

1. If  $v$  is a value of type  $\text{Bool}$ , then

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4. If  $\Gamma \vdash x : R$ , then  $x : R \in \Gamma$ .
5. If  $\Gamma \vdash \lambda x : T_1. t_2 : R$ , then  $R = T_1 \rightarrow R_2$  for some  $R_2$  with  $\Gamma, x : T_1 \vdash t_2 : R_2$ .
6. If  $\Gamma \vdash t_1 t_2 : R$ , then

## Canonical Forms

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### Lemma:

1. If  $v$  is a value of type `Bool`, then  $v$  is either `true` or `false`.
2. If  $v$  is a value of type  $T_1 \rightarrow T_2$ , then

## Progress

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**Theorem:** Suppose  $t$  is a closed, well-typed term (that is,  $\vdash t : T$  for some  $T$ ). Then either  $t$  is a value or else there is some  $t'$  with  $t \rightarrow t'$ .

**Proof:** By induction

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1. If  $v$  is a value of type `Bool`, then  $v$  is either `true` or `false`.
2. If  $v$  is a value of type  $T_1 \rightarrow T_2$ , then  $v$  has the form  $\lambda x:T_1. t_2$ .

## Progress

**Theorem:** Suppose  $t$  is a closed, well-typed term (that is,  $\vdash t : T$  for some  $T$ ). Then either  $t$  is a value or else there is some  $t'$  with  $t \longrightarrow t'$ .

**Proof:** By induction on typing derivations. The cases for boolean constants and conditions are the same as before. The variable case is trivial (because  $t$  is closed). The abstraction case is immediate, since abstractions are values.

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Consider the case for application, where  $t = t_1 t_2$  with  $\vdash t_1 : T_{11} \rightarrow T_{12}$  and  $\vdash t_2 : T_{11}$ . By the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation, and likewise  $t_2$ .

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Consider the case for application, where  $t = t_1 t_2$  with  $\vdash t_1 : T_{11} \rightarrow T_{12}$  and  $\vdash t_2 : T_{11}$ .

## Proving Preservation

**Theorem:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

**Proof:** By induction

## Proving Preservation

**Theorem:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

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[Which case is the hard one?]

Case T-APP:   Given    $t = t_1 t_2$   
                           $\Gamma \vdash t_1 : T_{11} \rightarrow T_{12}$   
                           $\Gamma \vdash t_2 : T_{11}$   
                           $T = T_{12}$   
                  Show    $\Gamma \vdash t' : T_{12}$

## Progress

**Theorem:** Suppose  $t$  is a closed, well-typed term (that is,  $\vdash t : T$  for some  $T$ ). Then either  $t$  is a value or else there is some  $t'$  with  $t \longrightarrow t'$ .

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Consider the case for application, where  $t = t_1 t_2$  with  $\vdash t_1 : T_{11} \rightarrow T_{12}$  and  $\vdash t_2 : T_{11}$ . By the induction hypothesis, either  $t_1$  is a value or else it can make a step of evaluation, and likewise  $t_2$ . If  $t_1$  can take a step, then rule E-APP1 applies to  $t$ . If  $t_1$  is a value and  $t_2$  can take a step, then rule E-APP2 applies. Finally, if both  $t_1$  and  $t_2$  are values, then the canonical forms lemma tells us that  $t_1$  has the form  $\lambda x:T_{11}.t_{12}$ , and so rule E-APPABS applies to  $t$ .

## Proving Preservation

**Theorem:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

**Proof:** By induction on typing derivations.

[Which case is the hard one?]

## Proving Preservation

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Case T-APP: Given  $t = t_1 t_2$   
 $\Gamma \vdash t_1 : T_{11} \rightarrow T_{12}$   
 $\Gamma \vdash t_2 : T_{11}$   
 $T = T_{12}$   
Show  $\Gamma \vdash t' : T_{12}$

By the inversion lemma for evaluation, there are three subcases...

Subcase:  $t_1 = \lambda x:T_{11}. t_{12}$   
 $t_2$  a value  $v_2$   
 $t' = [x \mapsto v_2]t_{12}$

## The “Substitution Lemma”

**Lemma:** Types are preserved under substitution.

If  $\Gamma, x:S \vdash t : T$  and  $\Gamma \vdash s : S$ , then  $\Gamma \vdash [x \mapsto s]t : T$ .

## Proving Preservation

**Theorem:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

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[Which case is the hard one?]

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 $\Gamma \vdash t_1 : T_{11} \rightarrow T_{12}$   
 $\Gamma \vdash t_2 : T_{11}$   
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Show  $\Gamma \vdash t' : T_{12}$

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## Proving Preservation

**Theorem:** If  $\Gamma \vdash t : T$  and  $t \longrightarrow t'$ , then  $\Gamma \vdash t' : T$ .

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[Which case is the hard one?]

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Show  $\Gamma \vdash t' : T_{12}$

By the inversion lemma for evaluation, there are three subcases...

Subcase:  $t_1 = \lambda x:T_{11}. t_{12}$   
 $t_2$  a value  $v_2$   
 $t' = [x \mapsto v_2]t_{12}$

Uh oh.

On to real programming languages...

## Sequencing

$t ::= \dots$  *terms*  
 $t_1; t_2$

## The “Substitution Lemma”

**Lemma:** Types are preserved under substitution.

If  $\Gamma, x:S \vdash t : T$  and  $\Gamma \vdash s : S$ , then  $\Gamma \vdash [x \mapsto s]t : T$ .

**Proof:** ...

## The Unit type

$t ::= \dots$  *terms*  
 $\text{unit}$  *constant unit*

$v ::= \dots$  *values*  
 $\text{unit}$  *constant unit*

$T ::= \dots$  *types*  
 $\text{Unit}$  *unit type*

*New typing rules*

$\Gamma \vdash \text{unit} : \text{Unit}$

$\Gamma \vdash t : T$

(T-UNIT)

## Derived forms

- ◆ Syntactic sugar
- ◆ Internal language vs. external (surface) language

## Equivalence of the two definitions

[board]

## Sequencing

$t ::= \dots$   
 $t_1; t_2$

*terms*

$$\frac{t_1 \rightarrow t'_1}{t_1; t_2 \rightarrow t'_1; t_2} \quad (\text{E-SEQ})$$

$$\text{unit}; t_2 \rightarrow t_2 \quad (\text{E-SEQNEXT})$$

$$\frac{\Gamma \vdash t_1 : \text{Unit} \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash t_1; t_2 : T_2} \quad (\text{T-SEQ})$$

## Sequencing as a derived form

$$t_1; t_2 \stackrel{\text{def}}{=} (\lambda x : \text{Unit}. t_2) t_1$$

where  $x \notin FV(t_2)$

## Ascription as a derived form

$$t \text{ as } T \stackrel{\text{def}}{=} (\lambda x:T. x) t$$

## Pairs

$t ::= \dots$ $\{t, t\}$ $t.1$ $t.2$	<i>terms</i> <i>pair</i> <i>first projection</i> <i>second projection</i>
$v ::= \dots$ $\{v, v\}$	<i>values</i> <i>pair value</i>
$T ::= \dots$ $T_1 \times T_2$	<i>types</i> <i>product type</i>

## Ascription

*New syntactic forms*

$$t ::= \dots$$

$$t \text{ as } T$$

*terms*  
*ascription*

*New evaluation rules*

$$v_1 \text{ as } T \longrightarrow v_1 \quad \text{(E-ASCRIBE)}$$

$$\frac{t_1 \longrightarrow t'_1}{t_1 \text{ as } T \longrightarrow t'_1 \text{ as } T} \quad \text{(E-ASCRIBE1)}$$

*New typing rules*

$$\frac{\Gamma \vdash t_1 : T}{\Gamma \vdash t_1 \text{ as } T : T} \quad \text{(T-ASCRIBE)}$$

## Let-bindings

*New syntactic forms*

$$t ::= \dots$$

$$\text{let } x=t \text{ in } t$$

*terms*  
*let binding*

*New evaluation rules*

$$\text{let } x=v_1 \text{ in } t_2 \longrightarrow [x \mapsto v_1]t_2 \quad \text{(E-LETV)}$$

$$\frac{t_1 \longrightarrow t'_1}{\text{let } x=t_1 \text{ in } t_2 \longrightarrow \text{let } x=t'_1 \text{ in } t_2} \quad \text{(E-LET)}$$

*New typing rules*

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma, x:T_1 \vdash t_2 : T_2}{\Gamma \vdash \text{let } x=t_1 \text{ in } t_2 : T_2} \quad \text{(T-LET)}$$

## Typing rules for pairs

$$\frac{\Gamma \vdash t_1 : T_1 \quad \Gamma \vdash t_2 : T_2}{\Gamma \vdash \{t_1, t_2\} : T_1 \times T_2} \quad (\text{T-PAIR})$$

$$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.1 : T_{11}} \quad (\text{T-PROJ1})$$

$$\frac{\Gamma \vdash t_1 : T_{11} \times T_{12}}{\Gamma \vdash t_1.2 : T_{12}} \quad (\text{T-PROJ2})$$

## Evaluation rules for tuples

$$\{v_i^{i \in 1..n}\}.j \longrightarrow v_j \quad (\text{E-PROJTUPLE})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.i \longrightarrow t'_1.i} \quad (\text{E-PROJ})$$

$$\frac{t_j \longrightarrow t'_j}{\{v_i^{i \in 1..j-1}, t_j, t_k^{k \in j+1..n}\} \longrightarrow \{v_i^{i \in 1..j-1}, t'_j, t_k^{k \in j+1..n}\}} \quad (\text{E-TUPLE})$$

## Evaluation rules for pairs

$$\{v_1, v_2\}.1 \longrightarrow v_1 \quad (\text{E-PAIRBETA1})$$

$$\{v_1, v_2\}.2 \longrightarrow v_2 \quad (\text{E-PAIRBETA2})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.1 \longrightarrow t'_1.1} \quad (\text{E-PROJ1})$$

$$\frac{t_1 \longrightarrow t'_1}{t_1.2 \longrightarrow t'_1.2} \quad (\text{E-PROJ2})$$

$$\frac{t_1 \longrightarrow t'_1}{\{t_1, t_2\} \longrightarrow \{t'_1, t_2\}} \quad (\text{E-PAIR1})$$

$$\frac{t_2 \longrightarrow t'_2}{\{v_1, t_2\} \longrightarrow \{v_1, t'_2\}} \quad (\text{E-PAIR2})$$

## Tuples

$t ::= \dots$	<i>terms</i>
$\{t_i^{i \in 1..n}\}$	<i>tuple</i>
$t.i$	<i>projection</i>
$v ::= \dots$	<i>values</i>
$\{v_i^{i \in 1..n}\}$	<i>tuple value</i>
$T ::= \dots$	<i>types</i>
$\{T_i^{i \in 1..n}\}$	<i>tuple type</i>

## Records

$t ::= \dots$	<i>terms</i>
$\{l_i = t_i \mid i \in I \dots n\}$	<i>record</i>
$t.l$	<i>projection</i>
$v ::= \dots$	<i>values</i>
$\{l_i = v_i \mid i \in I \dots n\}$	<i>record value</i>
$T ::= \dots$	<i>types</i>
$\{l_i : T_i \mid i \in I \dots n\}$	<i>type of records</i>

## Typing rules for records

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{l_i = t_i \mid i \in I \dots n\} : \{l_i : T_i \mid i \in I \dots n\}} \quad (\text{T-RCD})$$

$$\frac{\Gamma \vdash t_l : \{l_i : T_i \mid i \in I \dots n\}}{\Gamma \vdash t_l.l_j : T_j} \quad (\text{T-PROJ})$$

## Typing rules for tuples

$$\frac{\text{for each } i \quad \Gamma \vdash t_i : T_i}{\Gamma \vdash \{t_i \mid i \in I \dots n\} : \{T_i \mid i \in I \dots n\}} \quad (\text{T-TUPLE})$$

$$\frac{\Gamma \vdash t_l : \{T_i \mid i \in I \dots n\}}{\Gamma \vdash t_l.j : T_j} \quad (\text{T-PROJ})$$

## Evaluation rules for records

$$\{l_i = v_i \mid i \in I \dots n\}.l_j \longrightarrow v_j \quad (\text{E-PROJRCD})$$

$$\frac{t_l \longrightarrow t'_l}{t_l.l \longrightarrow t'_l.l} \quad (\text{E-PROJ})$$

$$\frac{t_j \longrightarrow t'_j}{\{l_i = v_i \mid i \in I \dots j-1, l_j = t_j, l_k = t_k \mid k \in j+1 \dots n\} \longrightarrow \{l_i = v_i \mid i \in I \dots j-1, l_j = t'_j, l_k = t_k \mid k \in j+1 \dots n\}} \quad (\text{E-RCD})$$

## Intro vs. elim forms

An **introduction form** for a given type gives us a way of **constructing** elements of this type.

An **elimination form** for a type gives us a way of **using** elements of this type.

What typing rules are introduction forms? What are elimination forms?

## Propositions as Types

### LOGIC

propositions

proposition  $P \supset Q$

proposition  $P \wedge Q$

proof of proposition  $P$

proposition  $P$  is provable

### PROGRAMMING LANGUAGES

types

type  $P \rightarrow Q$

type  $P \times Q$

term  $t$  of type  $P$

type  $P$  is inhabited (by some term)

Discussion

## The Curry-Howard Correspondence

In **constructive logics**, a proof of  $P$  must provide **evidence** for  $P$ .

◆ “law of the excluded middle” —  $P \vee \neg P$  — not recognized.

A proof of  $P \wedge Q$  is a **pair** of evidence for  $P$  and evidence for  $Q$ .

A proof of  $P \supset Q$  is a **procedure** for transforming evidence for  $P$  into evidence for  $Q$ .

## Propositions as Types

LOGIC	PROGRAMMING LANGUAGES
propositions	types
proposition $P \supset Q$	type $P \rightarrow Q$
proposition $P \wedge Q$	type $P \times Q$
proof of proposition $P$	term $t$ of type $P$
proposition $P$ is provable	type $P$ is inhabited (by some term)
proof simplification (a.k.a. “cut elimination”)	evaluation

## Typability

An untyped  $\lambda$ -term  $m$  is said to be **typable** if there is some term  $t$  in the simply typed lambda-calculus, some type  $T$ , and some context  $\Gamma$  such that  $erase(t) = m$  and  $\Gamma \vdash t : T$ .

Cf. **type reconstruction** in OCaml.

## Propositions as Types

LOGIC	PROGRAMMING LANGUAGES
propositions	types
proposition $P \supset Q$	type $P \rightarrow Q$
proposition $P \wedge Q$	type $P \times Q$
proof of proposition $P$	term $t$ of type $P$
proposition $P$ is provable	type $P$ is inhabited (by some term)
	evaluation

## Erasure

$$\begin{aligned} erase(x) &= x \\ erase(\lambda x:T_1. t_2) &= \lambda x. erase(t_2) \\ erase(t_1 t_2) &= erase(t_1) erase(t_2) \end{aligned}$$