CBPV + effects CBPV + coeffects

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Modal type distinction T is a monad

Graded modality \lozenge_{Φ} is a monad

Type-and-effect system grades "ambient" computational monad

Modal type distinction ! is a comonad

Graded modal type \Box_n is a comonad

Type-and-coeffect system grades "ambient" comonad annotations in typing context

- 1. Extending CBPV's type system with effect tracking
- 2. Extending CBPV's type system with coeffect tracking
- 3. Extending CBPV's type system with effect *and* coeffect tracking (1 slide)

Why CBPV?

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But, CBPV already makes the ambient monad and comonad explicit. We just need to grade it!

And, CBPV is a polarized type system: we can observe the duality between effects and coeffects, and understand their interactions with evaluation order.

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For example,

- To track running time, *ϕ* is natural number that counts executions of an effectful "tick" term.
- With algebraic effects, *ϕ* is the set of operations triggered during computation.
- To precisely trace logging or other outputs, ϕ is a list of strings.

To track effects throughout the computation, need a *pre-ordered monoid*.

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These rules are specific to a *call-by-value* semantics.

If we had a *call-by-name* semantics, we would need different rules. (And different types!)

[Lucassen and Gifford 1988, Katsumata 2014]

CBPV

CBPV is designed to model effects and subsume both CBV and CBN evaluation.

$$
\boxed{\Gamma \vdash V : A} \qquad \boxed{\Gamma \vdash M : B}
$$

CBPV is polarized: separate positive and negative types.

The type constructors **U** and **F** form an *adjunction* between values and computations.

- **U F** *A* is a monad
- \cdot **FU** \overline{B} is a comonad

CBPV + effect tracking

Let's extend the CBPV type system to track effects.

$$
\boxed{\Gamma \vdash_{\textit{eff}} V : A} \qquad \boxed{\Gamma \vdash_{\textit{eff}} M :^{\phi} B}
$$

We'll record latent effects in the thunk type as U_{ϕ} *B*.

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We'll record latent effects in the thunk type as U_{ϕ} *B*.

and add example effect: **tick**.

eff-tick

 $\Gamma \vdash_{\mathit{eff}} \mathbf{tick}$:^{Tick} <code>Funit</code>

CBPV with effect tracking

[Kammar and Plotkin 2012, Kammar, Lindley, Oury 2013]

Effect soundness

Key result of type system is *effect soundness*: the type system bounds effects that could occur at runtime.

Big-step operational semantics: $\rho \vdash_{\text{eff}} M \Downarrow T \# \phi$ counts ticks while evaluating computation *M* to terminal *T*.

г

Theorem If $\varnothing \vdash_{\it eff} M \;$: $^\phi \;$ $\mathbf{F} A$ and $\emptyset \vdash_{\it eff} M \Downarrow \mathbf{return}\; W$ # ϕ' then $\phi' \leq_{\it eff} \phi$.

Proof. Uses logical relations.

What about coeffects?

Coeffects track how input values contribute to the output result.

- Bounded linear types
- Whether functions use their arguments
- Differential privacy (how sensitive are function outputs to their inputs)
- Whether functions are monotonic
- Information-flow
- …

(Technically, these are examples of *structured* coeffects.)

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We mark variables in the context with coeffects *q* (short for quantity).

Coeffect examples

• For *bounded linear types*, we can use natural numbers.

 $x: ^{1}$ int , $y: ^{3}$ int , $z: ^{0}$ int $\vdash_{coeff} x + (y + y):$ int

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$$
x:
$$
¹ int, $y:$ ³ int, $z:$ ⁰ int $\vdash_{\textit{coeff}} x + (y + y)$: int

• For *relevance analysis*, 0 marks arguments that are not used and *ω* marks arguments that *may* be used.

$$
x: \omega
$$
 int, $y: \omega$ int, $z: \omega$ int $\vdash_{\text{coeff}} x + (y + y)$: int

Coeffect examples

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• For *relevance analysis*, 0 marks arguments that are not used and *ω* marks arguments that *may* be used.

 x : $^\omega$ int , y : $^\omega$ int , z : 0 int $\vdash_{{\it coeff}} x + (y + y)$: int

• For *data flow caching*, we want to provide access to prior values during streaming computation.

$$
x:^{1}
$$
 int , $y:^{0}$ **int** \vdash_{coeff} (**prev** x) + x + y : **int**

We can use natural numbers that track how many previous values are required.

Context comes with a list of coeffects for every variable.

 $\gamma ::= \varnothing | \gamma, q$

We use notation to extend both at once:

$$
\gamma\!\cdot\!\Gamma\,,\mathbf{x}\,;\mathbf{^{\boldsymbol{q}}}\,\tau=(\gamma\,,\mathbf{q})\!\cdot\!(\Gamma\,,\mathbf{x}\,;\tau)
$$

 γ · $\Gamma \vdash_{coeff} e : \tau$

lam-coeff-var

 $\overline{0}\!\cdot\!\Gamma_1$, $x\!:\!{}^1\tau$, $\overline{0}\!\cdot\!\Gamma_2 \vdash_{coeff} x:\tau$

lam-coeff-abs *γ·*Γ *,*(*x* : *q τ*1) *`coeff e* : *τ*² *γ* · Γ \vdash $\substack{\text{coeff}}$ λ^q *x*. $e: \tau_1^q \to \tau_2$

lam-coeff-app $\gamma_1 \cdot \Gamma \vdash_{coeff} e_1 : \tau_1^q \to \tau_2$ $\gamma_2 \cdot \Gamma \vdash_{\text{coeff}} e_2 : \tau_1 \qquad \gamma \equiv \gamma_1 + q \cdot \gamma_2$ $γ$ ·Γ \vdash *coeff* $e_1 e_2 : τ_2$ lam-coeff-sub $\gamma_1 \cdot \Gamma \vdash_{coeff} e : \tau$ *γ*² *≤co γ*¹ *γ*₂ · Γ \vdash _{*coeff*} $e : τ$

This is for a call-by-name language [Abel and Bernardy 2020, Choudhury et al. 2021].

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$$
\frac{\gamma_1 \cdot \Gamma \vdash_{\text{coeff}} \varphi_1 : \tau_1^q \to \tau_2}{\gamma_2 \cdot \Gamma \vdash_{\text{coeff}} \varrho_2 : \tau_1 \qquad \gamma \equiv \gamma_1 + (q \wedge 1 \cdot \gamma_2)} \qquad \qquad \frac{\gamma_2 \cdot \Gamma \vdash_{\text{coeff}} \varrho : \tau}{\gamma_2 \cdot \Gamma \vdash_{\text{coeff}} \varrho_1 \varrho_2 : \tau_2} \qquad \qquad \frac{\gamma_2 \leq_{\text{co}} \gamma_1}{\gamma_2 \cdot \Gamma \vdash_{\text{coeff}} \varrho : \tau}
$$

Call-by-value language forces usage in application rule [Gavazzo 2018].

CBPV with coeffects

 $\left[\gamma \cdot \Gamma \vdash_{\text{coeff}} V : A\right]$ (Value typing) coeff-var $\overline{0}\!\cdot\!\Gamma_1$, $x\!:\!\rule{0pt}{1.5pt}^1 A$, $\overline{0}\!\cdot\!\Gamma_2 \vdash_{coeff} x:A$ coeff-unit $\overline{0}\cdot\Gamma\vdash_{coeff} ()$: **unit** coeff-thunk $\gamma \cdot \Gamma \vdash_{coeff} M : B$ γ · Γ \vdash *coeff* $\{M\}$: **U** *B γ·*Γ *`coeff M* : *B (Computation typing)* coeff-abs γ · Γ , x : ^q $A \vdash_{coeff} M : B$ γ · $\Gamma \vdash_{coeff} \lambda x^q . M : A^q \to B$ coeff-app $\gamma_1 \cdot \Gamma \vdash_{coeff} M : A^q \to B$ $\gamma_2 \cdot \Gamma \vdash_{coeff} V : A$ $\gamma \equiv \gamma_1 + (q \cdot \gamma_2)$ $\gamma \cdot \Gamma \vdash_{coeff} MV:B$ coeff-force $γ$ · Γ \vdash _{*coeff}* $V:$ **U** *B*</sub> $γ$ · $Γ$ \vdash _{*coeff}* $V!$: *B*</sub> coeff-ret $\gamma \cdot \Gamma \vdash_{coeff} V : A$ $q \cdot \gamma \cdot \Gamma \vdash_{coeff} \textbf{return}_{q} V : \mathbf{F}_{q} A$ coeff-letin-v $\gamma_1 \cdot \Gamma \vdash_{coeff} M : \mathbf{F}_{q_1} A$ $\gamma_2 \cdot \Gamma$, χ : ^{$q_1 \cdot q_2'$} $A \vdash_{coeff} N : B$ $\gamma \equiv (q'_2 \cdot \gamma_1) + \gamma_2 \qquad q'_2 = q_2 \wedge 1$ $\gamma \cdot \Gamma \vdash_{coeff} x \leftarrow^{q_2} M$ **in** $N : B$ (+subrules)

Coeffect soundness

To show coeffect soundness, we define an environment-based operational semantics that counts uses of variables.

 $\gamma \cdot \rho \vdash_{\text{coeff}} V \Downarrow W$ *(Value rules)*

eval-coeff-val-var

 $\overline{0}_1 \cdot \rho_1$, $x \mapsto^1 W$, $\overline{0}_2 \cdot \rho_2 \vdash_{coeff} x \Downarrow W$

eval-coeff-val-thunk

γ·ρ `coeff {M} ⇓ **clo**(*γ·ρ, {M}*)

Lemma (Coeffect soundness)

1. *If* $\gamma \cdot \Gamma \vdash_{coeff} V : A$ then $\gamma \cdot \rho \vdash_{coeff} V \Downarrow W$. 2. *If* $\gamma \cdot \Gamma \vdash_{coeff} M : B$ then $\gamma \cdot \rho \vdash_{coeff} M \Downarrow T$.

eval-coeff-val-unit

 $\overline{0} \cdot \rho \vdash_{coeff} () \Downarrow ()$

eval-coeff-val-vsub $\gamma_1 \cdot \rho \vdash_{coeff} V \Downarrow W$ *γ*² *≤co γ*¹ $\gamma_2 \cdot \rho \vdash_{coeff} V \Downarrow W$

A strange semantics?

Although sound, this semantics doesn't model *resource usage*.

 $\gamma \cdot \rho \vdash_{\text{coeff}} M \Downarrow T$ (Computation rules)

eval-coeff-comp-app-abs *γ*₁ · $\rho \vdash_{coeff} M \Downarrow \mathbf{clo}(\gamma' \cdot \rho', \lambda x^q . M')$ $γ_2 \cdot ρ \vdash_{coeff} V \Downarrow W$ $\gamma' \cdot \rho'$, $x \mapsto ^q W \vdash_{coeff} M' \Downarrow T$ $\gamma \equiv \gamma_1 + q \cdot \gamma_2$ $\gamma \cdot \rho \vdash_{coeff} MV \Downarrow T$

eval-coeff-comp-abs

γ · *ρ* \vdash _{coeff} *λ***x**^q.*M* \Downarrow **clo**(γ · *ρ, λ***x**^q.*M*)

Application rule "invents" resources when *q* is zero!

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eval-coeff-comp-abs

γ · *ρ* \vdash _{coeff} *λ***x**^q.*M* \Downarrow **clo**(γ · *ρ, λ***x**^q.*M*)

Application rule "invents" resources when *q* is zero!

We can type this judgement, which says that *x* does not contribute to the final result.

x : ⁰ *A `coeff* (*λ^y* 0 *.***return**()) *x* : **F unit**

Resource accounting semantics

Can discard unused values, without accounting for their resources

 $\gamma \cdot \rho \vdash_{\text{coeff}} M \Downarrow T$ (Computation rules)

eval-lin-comp-app-abs *γ*₁ · $\rho \vdash_{lin} M \Downarrow \mathbf{clo}(\gamma' \cdot \rho', \lambda x^q . M')$ $\gamma_2 \cdot \rho \vdash_{lin} V \Downarrow W$ $(\gamma' \cdot \rho')$, $(x \mapsto^q W) \vdash_{lin} M' \Downarrow T$ $\gamma \equiv \gamma_1 + q \cdot \gamma_2$ $q \neq 0$ $\gamma \cdot \rho \vdash_{\text{lin}} MV \Downarrow T$

eval-lin-comp-return *γ ′ ·ρ `lin V ⇓ W* $\gamma \equiv q \cdot \gamma'$ *q* $\neq 0$ $γ$ $·$ *ρ* \vdash _{*lin*} **return**_{*a*}*W*

eval-lin-comp-app-abs-zero
\n
$$
\gamma \cdot \rho \vdash_{lin} M \Downarrow \mathbf{clo}(\gamma' \cdot \rho', \lambda x^0.M')
$$
\n
$$
\frac{(\gamma' \cdot \rho'), (x \mapsto^0 \downarrow) \vdash_{lin} M' \Downarrow T}{\gamma \cdot \rho \vdash_{lin} MV \Downarrow T}
$$

eval-lin-comp-ret-zero

⁰*·^ρ `lin* **return**⁰ *^V ⇓* **return**⁰

Cannot discard effectful computations

eval-lin-comp-letin-ret $\gamma_1 \cdot \rho \vdash_{lin} M \Downarrow \textbf{return}_q, W$ $\gamma_2 \cdot \rho \ , \ x \mapsto^{q_1 \cdot q_2'} \ W \vdash_{lin} N \Downarrow T$ $\gamma \equiv q'_\mathbf{2} \cdot \gamma_\mathbf{1} + \gamma_\mathbf{2}$ $q'_{2} = q_{2} \wedge 1$ $\gamma \cdot \rho \vdash_{\text{lin}} x \leftarrow^{q_2} M \text{ in } N \Downarrow T$

Combined effects and co-effects

Can discard computations that are **pure**.

Let's track effects and coeffects together.

γ[·]Γ \vdash *full M* :^{ϕ} *B*

full-letin-zero $\gamma_1 \cdot \Gamma \vdash_{\textit{full}} M_1 : ^{\varepsilon} \mathbf{F}_{q_1} A$ $\gamma_2 \cdot \Gamma$, $x: ^0A \vdash_{\mathit{full}} M_2 : ^\phi B$ $\gamma_2 \cdot \Gamma \vdash_{\mathit{full}} x \leftarrow^0 M_1$ $\textbf{in}\, M_2: ^\phi B$

 $\gamma \cdot \rho \vdash_{full} M \Downarrow T \# \phi$ (Evaluation rule)

eval-full-comp-letin-zero $\frac{\gamma \cdot \rho, x \mapsto q_1 \cdot q_2'}{2}$, $\frac{1}{2}$ $\frac{1}{2}$ *full* $N \Downarrow T \neq \phi$ $\overline{\gamma \cdot \rho \vdash_{full} x \leftarrow^0 M \text{ in } N \Downarrow T \# \phi}$ *^ϕ B (Typing rule)*

Summary

- Augmented CBPV with effect and coeffect tracking.
- Effects describe computations, so annotate thunk type U_{ϕ} *B*. Coeffects describe values, so annotate returner type $\mathbf{F}_q A$
- \cdot U_{ϕ} FA is a graded monad in the value language. $\mathbf{F}_q \mathbf{U} B$ is a graded comonad in the computation language.
- Showed effect and coeffect soundness, even in the presence of a semantics that tracks resource usage.
- In the paper: Standard CBV and CBN translations are type, effect, coeffect preserving. Explains restrictions found in some CBV coeffect type systems. (CBN translation does not require the use of "letin".)
- Proofs mechanized in Coq.

CBV Translation (Effects!)

We can translate type-and-effect CBV to effect-tracking CBPV. The standard translation just works.

> $\Vert \textbf{unit} \Vert_{v}$ = \textbf{unit} $[\![\tau_1 \stackrel{\phi}{\to} \tau_2]\!]_v = \mathbf{U}_{\phi} ([\![\tau_1]\!]_v \to \mathbf{F} [\![\tau_2]\!]_v)$ $\llbracket() \rrbracket_{v}$ = **return** () $\llbracket x \rrbracket_{\vee}$ = **return** *x* $[\![\lambda x. e]\!]_v$ = **return** $\{\lambda x. [\![e]\!]_v\}$ $\begin{bmatrix} e_1 & e_2 \end{bmatrix}$ $\mathbf{v} = x \leftarrow \begin{bmatrix} e_1 \end{bmatrix}$ \mathbf{v} **in** $\mathbf{v} \leftarrow \begin{bmatrix} e_2 \end{bmatrix}$ **in** $\mathbf{x}! \mathbf{v}$ $\left\| \mathbf{tick} \right\|_{v}$ = **tick**

Theorem (Translation preserves types-and-effects) $\iint \Gamma \vdash_{e\!f\!f\!f} e : \phi \tau$ then $[\![\Gamma]\!]_{\vee} \vdash_{e\!f\!f\!f} [\![e]\!]_{\vee} : \phi \mathbf{F} [\![\tau]\!]_{\vee}$.

We can also use the CBN translation for a source language with graded monads.

However, while $\mathbf{U} \mathbf{F} A$ is a monad in CBPV, it is awkward to access.

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$$
\llbracket \mathbf{T}_{\phi} \; \tau \rrbracket_{n} \qquad \qquad = \mathbf{F} \, \mathbf{U}_{\phi} \, \mathbf{F} \, \mathbf{U}_{\varepsilon} \; \llbracket \tau \rrbracket_{n}
$$

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 $\begin{bmatrix} \mathbf{T}_{\phi} \ \tau \end{bmatrix}_{n} = \mathbf{F} \mathbf{U}_{\phi} \mathbf{F} \mathbf{U}_{\varepsilon} \begin{bmatrix} \tau \end{bmatrix}_{n}$

 $\left[\text{return } e \right]_0$ = **return** $\left\{ \text{return } \left\{ \left[e \right]_0 \right\} \right\}$

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 $\begin{bmatrix} \mathbf{T}_\phi \ \tau \end{bmatrix}_n$ = **F** \mathbf{U}_ϕ **F** \mathbf{U}_ϵ $\begin{bmatrix} \tau \end{bmatrix}_n$

 $\left[\text{return } e \right]_0$ = **return** $\left\{ \text{return } \left\{ \left[e \right]_0 \right\} \right\}$ $[\text{bind } x = e_1 \text{ in } e_2]_0$ = **return** $\{y \leftarrow [e_1]_0 \text{ in } x \leftarrow y! \text{ in } z \leftarrow [e_2]_0 \text{ in } z! \}$

We can also use the CBN translation for a source language with graded monads. However, while $\mathbf{U} \mathbf{F} A$ is a monad in CBPV, it is awkward to access.

 $\begin{bmatrix} \mathbf{T}_\phi \ \tau \end{bmatrix}_n$ = **F** \mathbf{U}_ϕ **F** \mathbf{U}_ϵ $\begin{bmatrix} \tau \end{bmatrix}_n$

 $\left[\text{return } e \right]_0$ = **return** $\left\{ \text{return } \left\{ \left[e \right]_0 \right\} \right\}$ $[\textbf{bind}\,x = e_1 \textbf{ in } e_2]_n = \textbf{return } \{y \leftarrow [\![e_1]\!]_n \textbf{ in } x \leftarrow y! \textbf{ in } z \leftarrow [\![e_2]\!]_n \textbf{ in } z! \}$
 $[\textbf{tick}\,]\!]_n = \textbf{return } \{x \leftarrow \textbf{tick}\, \textbf{ in } \textbf{return } \{\textbf{return } x\}$ $=$ **return** $\{x \leftarrow \textbf{tick} \textbf{in} \textbf{return} \{ \textbf{return} \}$

CBN translation (coeffects!)

Standard translation of CBN to CBPV just works.

 $\left[\text{unit}\right]_0 = \mathbf{F}_1$ unit $\llbracket \tau_1^q \to \tau_2 \rrbracket_n = (\mathbf{U} \llbracket \tau_1 \rrbracket_n)^q \to \llbracket \tau_2 \rrbracket_n$ $[\![\Gamma, x : \tau]\!]_n = [\![\Gamma]\!]_n, x : \mathbf{U}[\![\tau]\!]_n$ $\llbracket() \rrbracket_n$ = **return**₁() $\llbracket x \rrbracket_n = x!$ $\llbracket \lambda x . e \rrbracket_n = \lambda x . \llbracket e \rrbracket_n$ $[e_1 e_2]_n = [e_1]_n \{[e_2]_n\}$

Theorem (Translation preserves types and coeffects) *If ^γ·*^Γ *`coeff ^e* : *^τ then ^γ·*JΓKⁿ *`coeff* ^J*e*Kⁿ : ^J*^τ* ^Kⁿ*.*

Interlude: Two kinds of products

CBPV has two forms of products: pairs of values and pairs of computations. The former are eliminated with pattern matching and the latter by projection. Linear logic has two forms of conjunction: *additive* & (aka with) and *multiplicative* products *⊗* (aka tensor).

The former shares resources during construction, the latter does not.

Interlude: Four kinds of products

But it doesn't have to be this way.

Can have "with" products in the value language, eliminated by projection.

coeff-
\n
$$
\gamma \cdot \Gamma \vdash_{coeff} V_1 : A_1
$$
\n
$$
\gamma \cdot \Gamma \vdash_{coeff} V_2 : A_2
$$
\n
$$
\gamma \cdot \Gamma \vdash_{coeff} V_2 : A_1 \& A_2
$$
\n
$$
\gamma \cdot \Gamma \vdash_{coeff} \langle V_1, V_2 \rangle : A_1 \& A_2
$$
\n
$$
\gamma \cdot \Gamma \vdash_{coeff} V : A_1 \& A_2
$$

Can have tensor products in the computation language, eliminated by pattern matching.

